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Structural influences on lattice distortion in luminescence finetuning of core–multi-shell upconversion nanoparticles highly doped with Yb³⁺ and Ho³⁺ ions

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Abstract

Core–multi-shell upconversion nanoparticles (UCNPs) highly doped with sensitizers and activators were synthesized by co-precipitation methods. With Zn^{2+} separately doped with Yb^{3+} or Ho^{3+} ions in different core–multi-shell structures, the nanoparticle morphologies, diffraction peak shifts, variations of upconversion luminescence (UCL) intensity and lifetime, underlying energy mechanisms, as well as multifunction potentials, were characterized and analyzed in detail. Lattice distortion promotes Yb^{3+} and Ho^{3+} 4f–4f transitions, but co-doping Gd^{3+} and Zn^{2+} ions in the NaHoF $_4$ matrix may induce offset of lattice distortion and lengthen the overall Yb^{3+} — Ho^{3+} energy transfer distance. Zn^{2+} -induced F^- vacancies can also quench energy as inner defects. And even in layers with identical content, the optimal Zn^{2+} doping can change with multi-shell structures. Besides, UCL intensity and lifetime may be non-positively correlated, especially in highly doped UCNPs with Zn^{2+} doping and multi-shell structures. With core-doped Zn^{2+} hetero-ions and controlled Yb^{3+} concentration, $NaGdF_4$: Yb^{3+} @ $NaHoF_4$ @ $NaGdF_4$: Yb^{3+} structures can achieve lifetimes as high as 635.82 μ s; while with core- or shell-doped Zn^{2+} hetero-ions, $NaHoF_4$ @ $NaHoF_4$ @ $NaGdF_4$: Yb^{3+} @ $NaGdF_4$: Yb^{3+} @ $NaGdF_4$: Yb^{3+} @ $NaHoF_4$ @ $NaGdF_4$: Yb^{3+} @ $NaGdF_4$. Yb^{3+} @ Yb^{3+} @ Yb^{3+} @ Yb^{3+} @ Yb^{3+} @ Yb^{3+} @ Yb^{3+} @

Keywords Upconversion nanoparticles \cdot Lattice distortion \cdot Highly doped \cdot Core–multi-shell \cdot NaHoF₄ \cdot Magnetic resonance imaging

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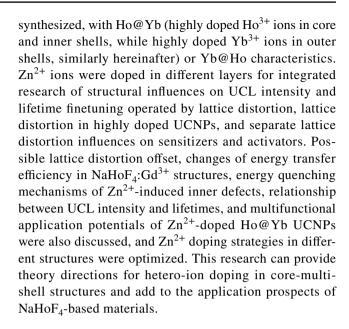
Introduction

Upconversion nanoparticles (UCNPs) doped with rare earth (RE) have attracted great attention as a new generation of luminescence material. Compared with quantum dots and organic dyes, the low toxicity [1], high stability [2], easy synthesis [3] of UCNPs, and large Stokes shift [4], narrow peak width [5], reduced photobleaching [6] of their upconversion luminescence (UCL), enable broad application prospects ranging from bioimaging [7, 8], anticounterfeiting [9, 10] to sensing [11, 12]. Among these UCNPs, sensitizer (Yb³⁺) and activator (Ho³⁺, Er³⁺, Tm³⁺) highly doped ones, have been endowed with unique UCL properties such as exceptional brightness [13, 14], singleband emission [15, 16] and tunable lifetimes [17, 18]. Relevant UCL-finetuning strategies, mainly including lattice manipulation [19], inert or active shell coating [20–23], high excitation power density [24] and homogeneous doping [25], can effectively suppress the detrimental energy quenching in highly doped UCNPs and improve their UCL performances.

Lattice distortion raised by ionic radius discrepancies of dopants, can lower the crystal field symmetry around RE³⁺ ions to promote their 4f–4f transition abilities [26, 27]. Generally recognized and frequently adopted as it has been, lattice manipulation via chemical co-doping of hetero-ions (Li⁺, Zn²⁺, Fe³⁺, etc.) [28–33] lacks insightful study in its relationship with core-multi-shell structures. Since sensitizers and activators are co-doped in conventional UCNPs such as the NaYF₄:Yb³⁺,Ho³⁺ and NaGdF₄:Yb³⁺,Er³⁺ structure [34, 35], it is also difficult to investigate the separate lattice distortion effects on sensitizers and activators. Besides, the strategy of hetero-ion doping has rarely been utilized in highly doped UCNPs. Targeted explorations on these issues are a necessity.

As one of the common UCL activators [36, 37], Ho³⁺ ions also possess short electronic relaxation time, high effective magnetic moment and high attenuation characteristic [38–41], so NaHoF₄-based nanomaterials can serve both as UCL emitters for bioimaging and as contrast agents for magnetic resonance imaging. With the trials by us and other researchers [42–44], the UCL of NaHoF₄ UCNPs has been achieved and developed, paving a solid path towards multifunction. Furthermore, the NaHoF₄@NaGdF₄:Yb³⁺ core–multi-shell structures facilitate UCL studies impossible or difficult to implement in conventional UCNPs, for example, UCL finetuning by doping Ce³⁺ ions only with activators or sensitizers [45, 46]. Therefore, these NaHoF₄-based core–multi-shell UCNPs have crucial scientific values in multifunctional application and UCL theory analysis.

Herein, through layer-by-layer method using RE chlorides and oleates, series of core-multi-shell UCNPs were



Sizes and morphologies of UCNPs

By the oleate route, multiple-shell UCNPs were synthesized layer by layer. Transmission electron microscope (TEM) images and size distribution diagrams of selected core-only, core–shell, core–double-shell and core–triple-shell UCNPs were shown in Fig. 1 and S1–S6. The samples were spherical and uniformly distributed. Respective size ranges were calculated to be 11–12, 18–20, 25–28 and 30–33 nm, so the first, second and third shells (S₁, S₂ and S₃) had average thicknesses of 3–4, 3–4 and 2–3 nm (Fig. 1j), respectively. Sample sizes were not much influenced by Zn²⁺ doping and different structures.

Apart from size growth, the core–multi-shell structures can also be directly identified by high-resolution TEM and elemental mapping images (see Fig. S7, S8 and relevant analysis). These results confirmed the solid synthesis of differently-structured UCNPs with Zn²⁺ doping.

Peak shifts in XRD patterns

In Bragg's Law of $2d\sin\theta = n\lambda$, d is the interplanar spacing, θ is the angle between the incident X-ray and the corresponding crystal plane, n is an integer and λ is the X-ray wavelength [47]. Therefore, when ions with larger or smaller ionic radii are doped, d expands or shrinks to cause θ to decrease or increase, and X-ray diffraction (XRD) peaks shift to lower or higher angles, respectively.

As shown in Fig. 2 and S9–S16, all diffraction peaks of synthesized samples can be well indexed to β -NaHoF₄ (JCPDS-49–1896), proving the single hexagonal phase without purities. In zoomed-in figures, shifts of the selected (201) peak can be observed, as has been analysed in our



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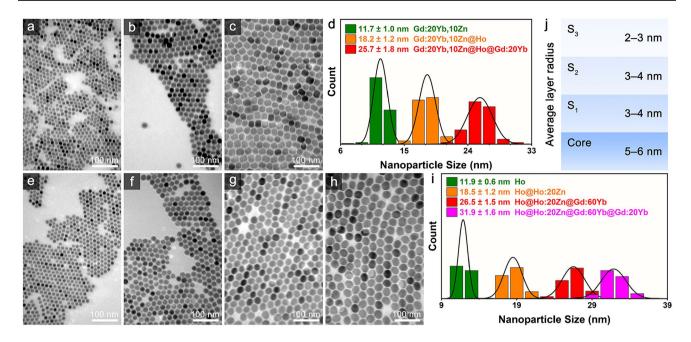
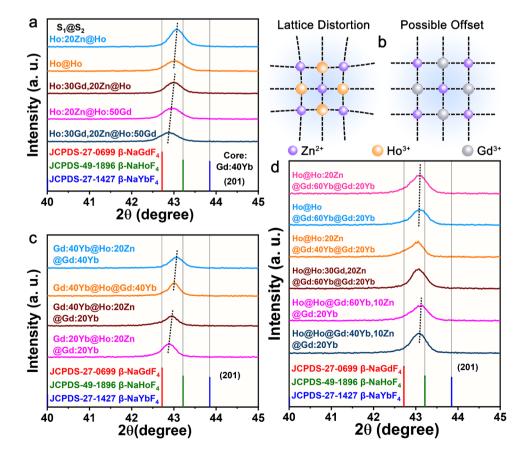


Fig. 1 (a)—(d) TEM images of NaGdF₄:20%Yb³⁺,10%Zn³⁺ (abbreviated as Gd:20Yb,10Zn, similarly hereinafter) core-only, Gd:20Yb,10Zn@Ho core-shell, Gd:20Yb,10Zn@Ho@Gd:20Yb core-double-shell UCNPs and respective size distribution diagram, respectively. (e)—(i) TEM images of Ho core-only, Ho@Ho:20Zn core-shell, Ho@Ho:20Zn@

Gd:60Yb core—double-shell, Ho@Ho:20Zn@Gd:60Yb@Gd:20Yb core—triple-shell UCNPs and respective size distribution diagram, respectively. (j) Schematic illustration of average core radius and shell thicknesses for synthesized core—multi-shell UCNPs. $S_1\!\!-\!\!S_3$ stand for the first, second and third shell

Fig. 2 (a) Shifts of the selected (201) peak for different Yb@ Ho@Ho UCNPs. (b) Schematic illustrations of lattice distortion and possible offset. (c) Shifts of the selected (201) peak for different Yb@Ho@Yb UCNPs. (d) Shifts of the selected (201) peak for different Ho@Ho@Yb UCNPs





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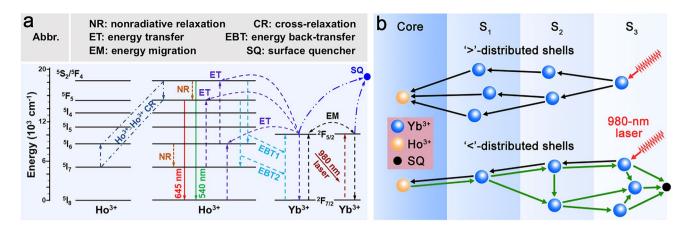


Fig. 3 (a) Energy diagrams and possible UCL-related mechanisms in the Yb³⁺-Ho³⁺ system. (b) Schematic illustrations of energy mechanisms in Yb³⁺ '>' and '<'-distributed multi-shell structures. '>'

and '<'-distributions stand for high-to-low and low-to-high gradients from inner to outer shells, respectively. S_1 – S_3 stand for the first, second and third shell

previous works [46, 48]. In Fig. 2a, the degree sequence for S₁@S₂ is NaHoF₄:30%Gd³⁺,20%Zn²⁺@NaHoF₄:50%Gd³⁺ (abbreviated as Ho:30Gd,20Zn@Ho:50Gd, similarly hereinafter) < Ho:20Zn@Ho:50Gd < Ho@Ho < Ho:20Zn@ Ho, with very close result of Ho:30Gd,20Zn@Ho and Ho@Ho. Relevant ionic radius sequence being Gd³⁺ $(1.1069 \text{ Å}) > \text{Ho}^{3+} (1.0722 \text{ Å}) > \text{Yb}^{3+} (1.0422 \text{ Å}) > \text{Zn}^{2+}$ (0.9665 Å) under nine-coordination environment [49, 50], S₁@S₂ of Ho:20Zn@Ho got the highest angle. Since Ho:30Gd,20Zn@Ho:50Gd contained the largest Gd³⁺ quantity, its peak degree should be the lowest. Based on the average core and shell sizes calculated from TEM images, S2 was larger in volume than S1 (see Note 1 in SI), which means 20 mol% of S₁-located Zn²⁺ ions was difficult to offset the shift by 50 mol% of S₂-located Gd³⁺ ions, so Ho:20Zn@Ho:50Gd exhibited a lower angle than that of Ho@Ho. The comparable degree values of Ho:30Gd,20Zn@Ho and Ho@Ho implied that lattice distortion offset might occur in single layer (Fig. 2b).

In Fig. 2c, the degree sequence is Gd:40Yb@Ho@Gd:40Yb < Gd:40Yb@Ho:20Zn@Gd:40Yb, and Gd:20Yb@Ho:20Zn@Gd:20Yb < Gd:40Yb@Ho:20Zn@Gd:20Yb < Gd:40Yb@Ho:20Zn@Gd:20Yb UCNPs, in accordance with the crystal radius sequence above. Note that for Gd:40Yb@Ho@Gd:40Yb and Gd:40Yb@Ho:20Zn@Gd:20Yb UCNPs, both at 20 mol%, substitution of Ho³+ with Zn²+ might induce larger lattice shrink in S¹ than substitution of Gd³+ with Yb³+ in S² did, but the volume of S² was larger than S¹. The eventual degrees were relatively comparable. In triple-shell structures (Fig. 2d), with more Gd³+ and Yb³+ ions in shells while the total Zn²+ content ratios were decreased by larger sample volumes, peak shifts became relatively indistinguishable.

Combined with peak shifts of other selected samples (Fig. S11, S14 and S15), it can be deduced that, for synthesized UCNPs, distinct ionic radii of content ions give rise to

shifts of diffraction peaks following the Bragg's Law. Shifts can be partly offset in single layer, and weakened by more shell coatings with varied content.

Basic energy mechanisms for the Yb³⁺–Ho³⁺ UCL system

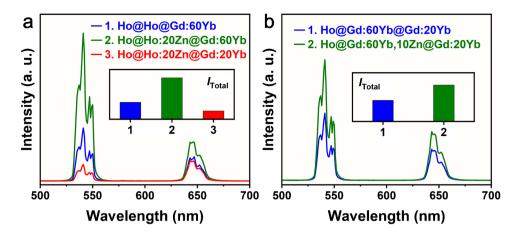
In conventional NaREF₄ UCNPs, Yb³⁺ sensitizers and Ho³⁺ activators are usually co-doped in inert (NaYF₄, NaGdF₄ and NaLuF₄) or active (NaYbF₄) matrixes [51], and Hobased UCL in the visible region mainly contains green and red emissions [52]. In a typical UCL process [53, 54], as shown in Fig. 3a, Yb³⁺ ions first capture 980-nm photons upon c. w. (continuous wave) or pulsed laser excitation, and conduct the ${}^2F_{7/2}$ (Yb³⁺) \rightarrow ${}^2F_{5/2}$ (Yb³⁺) transition. Next, ²F_{5/2}-state Yb³⁺ ions sensitize Ho³⁺ ions onto the intermediate ⁵I₆ and green-emitting ⁵S₂/⁵F₄ states via the energy transfer (ET) of ${}^{2}F_{5/2}$ (Yb³⁺) + ${}^{5}I_{8}$ (Ho³⁺) $\rightarrow {}^{2}F_{7/2}$ (Yb³⁺) + ${}^{5}I_{6}$ (Ho³⁺) and sequentially ${}^{2}F_{5/2}$ (Yb³⁺) + ${}^{5}I_{6}$ (Ho³⁺) $\rightarrow {}^{2}F_{7/2}$ $(Yb^{3+}) + {}^5S_2/{}^5F_4$ (Ho³⁺) transitions, respectively. Finally, the green UCL peaked at ~ 541 nm is obtained via the ${}^{5}S_{2}/{}^{5}F_{4}$ $(\text{Ho}^{3+}) \rightarrow {}^{5}\text{I}_{8}$ (Ho³⁺) transition. To populate the red-emitting ⁵F₄ state, there happens cross-relaxation (CR) of the ⁵F₄/⁵S₂ $(\text{Ho}_1^{3+}) + {}^5\text{I}_7 (\text{Ho}_2^{3+}) \rightarrow {}^5\text{F}_5 (\text{Ho}_1^{3+}) + {}^5\text{I}_6 (\text{Ho}_2^{3+}) \text{ transition,}$ or non-radiative relaxation (NR) of the ${}^5F_4/{}^5S_2$ (Ho³⁺) $\rightarrow {}^5F_5$ (Ho³⁺) transition, or firstly NR of the ${}^{5}I_{6}$ (Ho³⁺) $\rightarrow {}^{5}I_{7}$ (Ho³⁺) then ET of the ${}^{2}F_{5/2}(Yb^{3+}) + {}^{5}I_{7}(Ho^{3+}) \rightarrow {}^{2}F_{7/2}(Yb^{3+}) + {}^{5}F_{5}$ (Ho³⁺) transitions, after which the red UCL peaked at ~643 nm is obtained via the ${}^{5}F_{5}$ (Ho³⁺) $\rightarrow {}^{5}I_{8}$ (Ho³⁺) transition. Normally, the green emission is much stronger than the red one [55].

In our Ho@Yb and Yb@Ho UCNPs, where Yb³⁺ sensitizers and Ho³⁺ activators are separated in different layers, ET is achieved by interfacial energy transfer (IET)[56]. Besides, energy migration (EM) of the ${}^{2}F_{5/2}$ (Yb₁³⁺)+ ${}^{2}F_{7/2}$



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Fig. 4 (a) UCL spectra and $I_{\rm Total}$ of Ho@Ho@Gd:60Yb, Ho@Ho:20Zn@Gd:60Yb and Ho@Ho:20Zn@Gd:20Yb UCNPs. (b) UCL spectra and $I_{\rm Total}$ of Ho@Gd:60Yb@Gd:20Yb and Ho@Gd:60Yb,10Zn@Gd:20Yb UCNPs



 $(Yb_2^{3+}) \rightarrow {}^2F_{7/2} \ (Yb_1^{3+}) + {}^2F_{5/2} \ (Yb_2^{3+})$ transitions, and energy back-transfer (EBT) of the ${}^5F_4/{}^5S_2 \ (Ho^{3+}) + {}^2F_{7/2} \ (Yb^{3+}) \rightarrow {}^5I_6 \ (Ho^{3+}) + {}^2F_{5/2} \ (Yb^{3+})$ and ${}^5F_5 \ (Ho^{3+}) + {}^2F_{7/2} \ (Yb^{3+}) \rightarrow {}^5I_7 \ (Ho^{3+}) + {}^2F_{5/2} \ (Yb^{3+})$ transitions, can be easily initiated by highly doped Yb^{3+} and Ho^{3+} ions with close distances [42]. These processes can short-circuit the excitation energy to inner defects (IDs) and surface quenchers (SQs) via pathways such as EM-...-EM-ID, EBT-EM-SQ, and increase energy loss. With '>'-distributed (high-to-low gradient from inner to outer shells) Yb^{3+} concentrations in multi-shell structures [57], Gd:60Yb@Gd:40Yb@Gd:20Yb for example, negative influences from EBT and EM can be suppressed for enhanced sensitizing energy supply inwards (Fig. 3b).

Basic mechanisms of Zn²⁺-induced UCL finetuning

Lattice manipulation by co-doping has been widely accepted and utilized as an effective chemical method for UCL finetuning [26]. With lattice shrink or expansion due to heteroion substitution and interstitial in the host matrix, the crystal field symmetry around $\mathrm{Ho^{3+}}$ and $\mathrm{Yb^{3+}}$ ions is lowered, promoting their 4f–4f transition abilities and thus increasing UCL intensities [27]. Generally, there is an optimal heteroion concentration for each nanoparticle structure. Too high doping levels can profoundly decrease UCL by superfluous lattice distortion [58], energy-quenching interstitial ions [29, 59], restoration of local symmetry [60], and more IDs [61]. Additionally, with non-trivalent hetero-ion dopants, to maintain charge balance, F^- vacancies ($\mathrm{V_F}^-$) form as IDs in the NaREF₄ matrix, which can also quench energy [31, 62].

 $\rm Zn^{2+}$ was chosen as the hetero-ion. With X-ray photoelectron spectroscopy (XPS) characterization, the successful $\rm Zn^{2+}$ doping and the existence of $\rm V_F^-$ can be validated [33, 63, 64] (see Fig. S17 and relevant analysis). Additionally, the $\rm Zn$ [2]⁺-induced lattice distortion was further simulated via the Ho–F bond length (see Fig. S18 and relevant analysis), and the Fourier transform infrared spectra in Fig. S19 suggested that the condition of SQs should not be much influenced by Zn [2]⁺ doping in different layers [65, 66]. Based on our previous work [48], to better compare and study the structural influences on lattice distortion, Zn²⁺ ions were separately co-doped with Ho³⁺ and Yb³⁺ ions by concentrations of 20 and 10 mol%, the generally positive effects of which were identified by simple experimental verification. As shown in Fig. 4, the total UCL intensity (I_{Total}) of Ho@ Ho:20Zn@Gd:60Yb and Ho@Gd:60Yb,10Zn@Gd:20Yb UCNPs increased by 2.45 and 1.72 times compared with Ho@Ho@Gd:60Yb and Ho@Gd:60Yb@Gd:20Yb UCNPs, respectively. Apart from that, due to the fact that sensitization by 20 mol% of Yb³⁺ ions was insufficient for core@S₁ of Ho@Ho, I_{Total} of Ho@Ho:20Zn@Gd:20Yb UCNPs much weakened, illustrating the structural rationality of designed active shells such as the outmost Gd:60Yb and S₁@S₂ of Gd:60Yb@Gd:20Yb in this research.

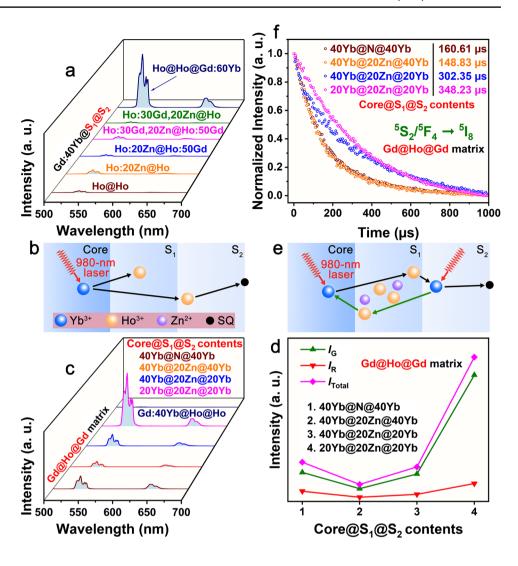
Zn²⁺-doped Yb@Ho@Ho structures

Given that surface energy quenching is a deciding factor of UCL intensity and lifetimes, we designed different NaGdF₄:40%Yb³⁺@NaHoF₄:Gd³⁺@NaHoF₄:Gd³⁺ (abbreviated as Gd:40Yb@Ho:Gd@Ho:Gd, or more simplified as Yb@Ho@Ho, similarly hereinafter) double-shell UCNPs to structurally avoid energy quenching pathways such as EBT-EM-SQ. As shown in Fig. 5a and Table S1, the 4f-4f transition ability of Ho³⁺ ions was raised with lattice distortion by Zn^{2+} hetero-ions doped in S_1 and S_2 , but all samples emitted significantly weak I_{Total} compared with Ho@Ho@ Gd:60Yb UCNPs. It has been well proved that, unlike the case for IET RE³⁺-RE³⁺ transitions that decrease with inert shell separation [67], inert host matrixes hardly block the photon capture of Yb³⁺ sensitizers [68–71]. This means the sensitizing energy supply via Yb³⁺-Ho³⁺ ET would be negligibly hindered with inert shell coatings even though the 980-nm excitation laser needed to penetrate both S₁ and S₂ before reaching the core-located Yb³⁺ ions. Accordingly, the



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Fig. 5 (a) UCL spectra of Zn²⁺-doped Yb@Ho@Ho UCNPs. Ho@Ho@Gd:60Yb sample is selected as reference. (b) Schematic illustrations of the ET-SQ pathway. (c) UCL spectra and (d) UCL property line chart of different Zn²⁺-doped Yb@Ho@ Yb UCNPs, respectively. Gd:40Yb@Ho@Ho sample is selected as reference. (e) Schematic illustrations of $Yb^{3+}(core)-Ho^{3+}(S_1)-Yb^{3+}(S_2)$ and $Yb^{3+}(S_2)-Ho^{3+}(S_1)-$ Yb³⁺(core) pathways. (f) UCL decay curves and fitted lifetimes of UCNPs in (c). "N" in 40Yb@N@40Yb stands for "None". S₁ and S₂ stand for the first and second shells, respectively. $I_{\rm G},\,I_{\rm R}$ and $I_{\rm Total}$ stand for the green, red and total UCL intensity, respectively



possible reason for I_{Total} decrease were still surface energy quenching. S_2 -located Ho^{3+} ions can readily interact with SQs and get depopulated from their excited states via the ET–SQ pathway (Fig. 5b). Compared with EBT–EM–SQ ones, the ET–SQ pathway can quench both the emissive ${}^5S_2/{}^5F_4$ and 5F_5 , and intermediate 5I_6 and 5I_7 states, aggravating energy loss and restraining UCL. In brief, the Yb@Ho structures were not optimal due to severe energy quenching.

Zn²⁺-doped Yb@Ho@Yb structures

Different Gd:Yb@Ho:Gd@Gd:Yb double-shell UCNPs with core- and S_1 -doped Zn^{2+} ions were prepared and investigated. Compared to Gd:40Yb@Ho@Ho UCNPs, I_{Total} of Gd:40Yb@Ho@Gd:40Yb UCNPs drastically increased by 45.60 times (Fig. 5c, 5d and **Table S2**), indicating the much less surface energy quenching in S_1 than S_2 . Interestingly, with 20 mol% of S_1 -doped Zn^{2+} ions, I_{Total} decreased more than half. Since core- and S_2 -located Yb³⁺ ions are highly doped

and adjacent to S₁-located Ho³⁺ ions, negative energy pathways of $Yb^{3+}(core)-Ho^{3+}(S_1)-Yb^{3+}(S_2)$ and $Yb^{3+}(S_2)-Ho^{3+}(S_1)-Yb^{3+}(core)$ both formed (Fig. 5e), where S₁-located Ho³⁺ ions participated as assistant energy donors rather than final accepters. With promoted 4f-4f transitions by Zn²⁺ doping, the Ho³⁺-Yb³⁺ EBT was exacerbated for more energy loss. Our analysis was evidenced by Gd:40Yb@Ho:20Zn@Gd:20Yb and Gd:20Yb@ Ho:20Zn@Gd:20Yb UCNPs, where I_{Total} achieved 2.03 and much higher 8.49 times of enhancement, respectively, compared with Gd:40Yb@Ho:20Zn@Gd:40Yb UCNPs. Besides, more proof was provided by green-emission decay lifetimes (τ_G) with single-exponential fitting. As shown in Fig. 5f, τ_G decreased from 160.61 to 148.83 µs with S_1 of Ho:20Zn, and increased to 302.35 μ s with S₂ of Gd:20Yb, and further increased to 348.23 µs with both core and S₂ of Gd:20Yb. Therefore, inward Ho³⁺(S₁)-Yb³⁺(core) EBT and outward $Ho^{3+}(S_1)-Yb^{3+}(S_2)$ EBT simultaneously existed in Gd:40Yb@Ho:20Zn@Gd:40Yb UCNPs. Yb³⁺ concentration reduction in the core and S₂ can



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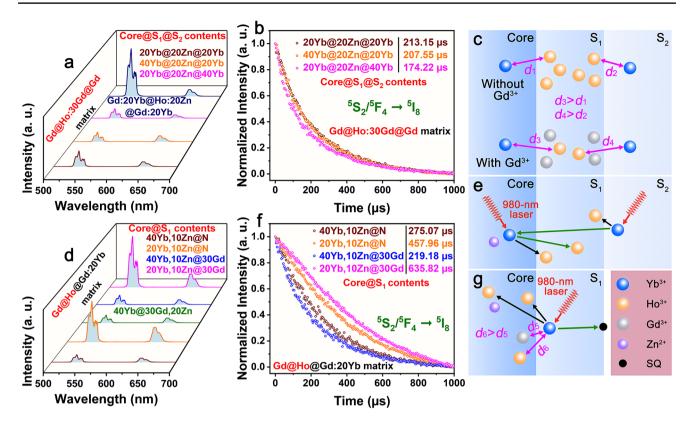


Fig. 6 (a) UCL spectra and (b) UCL decay curves and fitted lifetimes of Yb@Ho@Yb UCNPs with Zn²⁺ doping in S₁. Gd:20Yb@Ho:20Zn@Gd:20Yb sample is selected as reference. (c) Schematic illustrations of possible Yb³⁺–Ho³⁺ ET distance variations by Gd³⁺ doping in S₁. (d) UCL spectra of Yb@Ho@Yb UCNPs with Zn²⁺ doping in the core. The Gd:40Yb@Ho:30Gd,20Zn@Gd:20Yb sample is selected as reference. (e) Schematic illustrations of positive

Yb³⁺(core)–Ho³⁺(S₁), Yb³⁺(S₂)–Ho³⁺(S₁) and Yb³⁺(S₂)–Yb³⁺(core)–Ho³⁺(S₁) pathways. (f) UCL decay curves and fitted lifetimes of UCNPs in (d). (g) Schematic illustrations of possible influences from surface quenching, Zn²⁺ doping and Gd³⁺ doping on Yb³⁺–Ho³⁺ ET in Ho@Yb structures. "N" stands for "None". S₁ and S₂ stand for the first and second shells, respectively

effectively surpass the energy loss via EBT and enhance UCL properties.

With 30 mol% of S₁-located Gd³⁺ ions, UCL properties followed the variation regulations above. Gd:20Yb@ Ho:30Gd,20Zn@Gd:20Yb UCNPs obtained the highest I_{Total} and τ_{G} values (Fig. 6a, 6b and Table S3), which were nevertheless noticeably lower compared with Gd:20Yb@ Ho:20Zn@Gd:20Yb UCNPs. There were two possible explanations. First, as analyzed in Fig. 2b, the ionic radius sequence being Gd^{3+} (1.1069 Å)> Ho^{3+} (1.0722 Å)> Zn^{2+} (0.9665 Å), under high concentrations, the lattice expansion by substituting Ho³⁺ with larger Gd³⁺ ions might partly offset the lattice shrink by substituting Ho³⁺ with smaller Zn²⁺ ions, consequently weakening the enhancement of Ho³⁺ 4f-4f transitions by lattice distortion; Second, Gd³⁺ dopants reducing the Ho³⁺ quantity near the S₁/S₂ and core/ S₁ interfaces by substitution, the overall Yb³⁺–Ho³⁺ ET distance was indirectly lengthened (Fig. 6c). Considering the limited sensitizing efficiency from 20 mol% of Yb³⁺ ions, decreased quantity of Ho³⁺ ions finally got excited. More analysis would be offered afterwards.

For detailed insights, Zn²⁺ ions were co-doped with core-located Yb3+ ions in Gd:Yb@Ho:Gd@Gd:Yb doubleshell UCNPs. As shown in Fig. 6d, S20 and Table S4, surprisingly, I_{Total} of Gd:40Yb,10Zn@Ho:30Gd@Gd:20Yb UCNPs did not dropped, and even got markedly enhanced by reducing Yb³⁺ concentration in the core (compared with the green line in Fig. 6d). These results suggested that promoted by lattice distortion, core-located 20 mol% of Yb³⁺ ions can be readily excited directly by 980-nm laser or indirectly by EM with S2-doped Yb3+ ions, which sustained efficient sensitizing energy supply to S₁-located Ho³⁺ ions. Competitions between Yb³⁺(S₂)-Yb³⁺(core) EM and $Yb^{3+}(S_2)-Ho^{3+}(S_1)$ ET may emerge, but can be alleviated by sequent Yb³⁺(core)-Ho³⁺(S₁) ET (Fig. 6e). Yb³⁺(S₂)-Yb³⁺(core) EM was also less detrimental than Yb³⁺(core)-Yb³⁺(S₂) EM in that excited Yb³⁺ ions were farther from SQs for reduced surface energy quenching. However, frequent Ho³⁺(S₁)-Yb³⁺(core) EBT remained in core of Gd:40Yb,10Zn, so 20 mol% of Yb³⁺ concentration cut into core of Gd:20Yb,10Zn led to I_{Total} enhancement of over 4 times as in Fig. S20. UCL lifetimes further proved

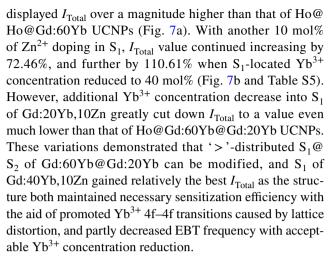


the mechanism. τ_G prominently increased from 275.07 to 457.96, and from 219.18 to 635.82 µs after Yb³⁺ concentration reduction from core@S₁ of Gd:40Yb,10Zn@ Ho to Gd:20Yb,10Zn@Ho, and from Gd:40Yb,10Zn@ Ho:30Gd to Gd:20Yb,10Zn@Ho:30Gd, respectively (Fig. 6f). Such long lifetimes profited from the low Yb³⁺ sensitizer concentrations next to Ho³⁺ activators and highlighted structural optimization. Besides, the higher I_{Total} and τ_G values of Gd:20Yb,10Zn@Ho:30Gd@Gd:20Yb than Gd:20Yb,10Zn@Ho@Gd:20Yb UCNPs (Fig. S20) can be attributed to less CR-induced energy quenching, which would become a major factor after issues of sensitizing energy supply and surface quenching were dealt with. To conclude, Gd:20Yb,10Zn@Ho:30Gd@Gd:20Yb UCNPs optimized lattice distortion, ET, EBT, EM and CR mechanisms in Yb@Ho@Yb structures and possessed the best UCL performances.

Based on the above discussion, it can be conjectured that in Ho@Yb structures, Ho3+ ions competed with SQs for Yb³⁺ sensitizing energy (Fig. 6g), so Zn²⁺ co-doping with Ho³⁺ ions can increase the competitiveness of Ho³⁺ ions (Fig. 6g), especially those located farther from Yb³⁺ ions, and lengthening the overall Yb3+-Ho3+ ET distance with Gd³⁺ co-doping functioned conversely (Fig. 6g). However, in Yb@Ho@Ho structures, core-located Yb³⁺ ions separated from SQs, the dependence of Yb³⁺-Ho³⁺ ET on distance was relatively decreased, so S₁- and S₂-located Ho³⁺ ions can form strong competitions for ET, and most energy was quenched via the Yb³⁺(core)–Ho³⁺(S_2)–SQ pathway. In the Gd:40Yb@Ho:20Zn@Gd:40Yb structure with highly doped Yb³⁺ ions on both sides, under lattice distortion, Ho³⁺ ions near the S₁/S₂ interface via Yb³⁺(core)-Ho³⁺(S₁) ET can better compete with those near the core/S₁ interface for sensitizing energy from core Yb³⁺ ions. But then, excited Ho³⁺ ions near the S₁/S₂ interface got critically quenched by $\text{Ho}^{3+}(S_1)$ –SQ or $\text{Ho}^{3+}(S_1)$ –Yb³⁺(S₂)–SQ pathways (Fig. 5e). The positive effects of core-located Yb³⁺ sensitization and Zn²⁺-induced transition promotion were both undercut, so I_{Total} and τ_{G} fell. Similarly, Zn^{2+} -promoted Ho³⁺ ions near the core/ S_1 interface via $Yb^{3+}(S_2)$ – $Ho^{3+}(S_1)$ ET can also better compete for sensitizing energy from S₂-located Yb³⁺ ions and then be quenched via inward Ho³⁺(S₁)–Yb³⁺(core) EBT (Fig. 5e). This meant overall more EBT and energy loss in Gd:40Yb@Ho:20Zn@Gd:40Yb structures. The structural influences on hetero-ion doping and UCL mechanisms can be clearly seen.

Zn²⁺-doped Ho@Yb@Yb structures

The structural influences on lattice distortion were next examined in Ho@Gd:Yb@Gd:Yb structures. With a '>'-distributed (Fig. 3b) Yb³⁺ concentration, S₁@S₂ of Gd:60Yb@Gd:20Yb, Ho@Gd:60Yb@Gd:20Yb UCNPs



 τ_G values accorded with our analysis, increasing from 77.55 to 90.74 μs with S_1 -doped Zn^{2+} ions, and then to 184.45 and 157.08 μ s with sequent S₁-doped Yb³⁺ reduction (Fig. 7c). The lowest I_{Total} but second longest τ_G of Ho@ Gd:20Yb,10Zn@Gd:20Yb UCNPs indicated that the UCL intensity is decided by both the quantity and non-radiative transition possibility of emissive-state Ho³⁺ ions. Short lifetimes denote severe energy loss typically via EBT, SQs and CR, as their reciprocals equal to the radiative and non-radiative transition rates that are the derivatives from respective transition possibility by time. Despite all this, if the quantity of ${}^5F_4/{}^5S_2$ - and 5F_5 -state Ho³⁺ ions is large enough to result in an increased number of ${}^5S_2/{}^5F_4 \rightarrow {}^5I_8$ and ${}^5F_5 \rightarrow {}^5I_8$ emissive transitions under conditions of severe energy loss, the UCL intensity would still rise. In other words, the outcome of a low possibility for a large candidate number, might be more than that of a high possibility for a small candidate number (Fig. 7d). Therefore, high UCL intensities matched with short lifetimes are not irrational, especially in highly doped structures with high concentrations of activators.

The above analysis also implied that UCL lifetimes sometimes might be determined by emissive-state Ho^{3+} quantities. The higher τ_G value of 348.23 μs for Gd:20Yb@Ho:20Zn@Gd:20Yb than 213.15 μs for Gd:20Yb@Ho:30Gd,20Zn@Gd:20Yb UCNPs was an example (Fig. 5f and 6b). Although undergoing relatively less $Ho^{3+}(S_1)-Yb^{3+}(S_2)$ and $Ho^{3+}(S_1)-Yb^{3+}(core)$ EBT due to Gd $^{3+}$ -induced lengthening of overall $Yb^{3+}-Ho^{3+}$ ET distance as analyzed, fewer Ho^{3+} ions in the latter structure got excited. The final non-radiative transition possibility for individual Ho^{3+} ion might still be higher and τ_G was lowered.

In Ho:Gd@Gd:Yb@Gd:Yb structures, with core of Ho:50Gd, '>'-distributed $S_1@S_2$ of Gd:60Yb,10Zn@Gd:20Yb exhibited the highest I_{Total} and τ_G values (Fig. 7e, 7f and Table S6), even higher than those of Ho@Gd:40Yb,10Zn@Gd:20Yb UCNPs, which manifested that 50 mol% of core-located inert Gd³⁺ ions can not only reduce energy quenching by CR, but also partly shield excited



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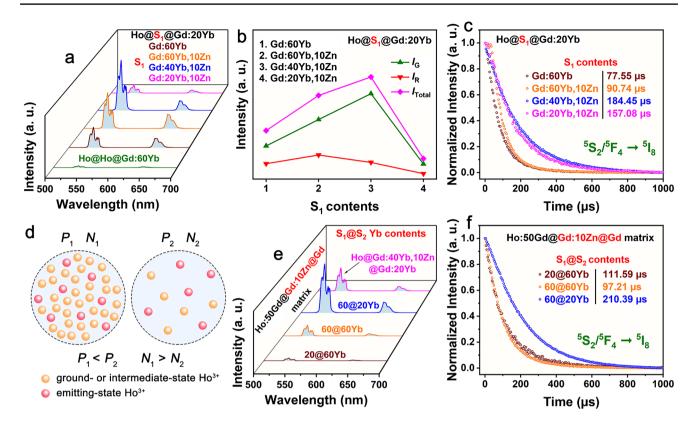


Fig. 7 (a) UCL spectra, (b) UCL property line chart and (c) decay curves and fitted lifetimes of Ho@Gd:Yb@Gd:Yb UCNPs with Zn^{2+} doping in $\mathrm{S_1}$. Ho@Ho@Gd:20Yb sample is selected as reference. I_{G} , I_{R} and I_{Total} stand for the green, red and total UCL intensity, respectively. (d) Schematic illustrations of one possible example for high UCL intensities matched with short lifetimes. N_1 and N_2 refer

to the quantities of emitting-state $\mathrm{Ho^{3+}}$ ions. P_1 and P_2 refer to the average possibilities of individual $\mathrm{Ho^{3+}}$ ion to be excited to emitting-state without non-radiative quenching. (e) UCL spectra and (f) decay curves and fitted lifetimes of $\mathrm{Ho:50Gd@Gd:Yb@Gd:Yb}$ UCNPs with $\mathrm{Zn^{2+}}$ doping in $\mathrm{S_1.}$ $\mathrm{Ho@Gd:40Yb,10Zn@Gd:20Yb}$ sample is selected as reference

Ho³⁺ ions from EBT. Besides, the '<'-distributed (low-to-high gradient from inner to outer shells, see Fig. 3b) Ho:50Gd@Gd:20Yb,10Zn@Gd:60Yb UCNPs possessed lower I_{Total} but higher τ_{G} values compared with Ho:50Gd@Gd:60Yb,10Zn@Gd:60Yb UCNPs. This phenomenon was consistent with our analysis for Yb@Ho@Yb structures that Gd:20Yb,10Zn can effectively decrease EBT. However, the ET efficiency was rather low in '<'-distributed structures, and hence lower I_{Total} . From another angle, the UCL properties of Ho:50Gd@Gd:20Yb,10Zn@Gd:60Yb UCNPs were also an example to prove that UCL intensity relies on both sensitizing energy supply and energy protection.

Zn²⁺-doped Ho@Yb@Yb@Yb structures

 Zn^{2+} -induced lattice distortion effects were studied in structures with a third active shell. As shown in Fig. 8a–8c and Table S7, although '>'-distributed Ho@Gd:60Yb@Gd:40Yb@Gd:20Yb UCNPs significantly increased I_{Total} and τ_{G} values compared with Ho@Gd:60Yb@Gd:20Yb UCNPs, additional Zn^{2+} dopants in triple-shell structures acquired only negative changes. Two possible reasons

accounted for these results. First, EBT would be aggravated by promoted Yb³+ 4f–4f transitions upon S₁-doped Zn²+ ions. Second, to sustain charge balance, substitution of trivalent Gd³+ ions with bivalent Zn²+ hetero-ions produced V_F^- that can form IDs. These V_F^- -induced IDs can partly add to crystal field asymmetry, and can cause energy loss at the same time either directly via quenching excited Ho³+ ions, or indirectly via pathways such as EBT–EM–ID (Fig. 8d). But in '>'-distributed triple active shells, where the sensitizing energy was not only well preserved and directed inwards but also reinforced by abundant Yb³+ quantities in shells, further promotion of Yb³+ 4f–4f transitions at the sacrifice of more V_F^- -induced IDs as energy quenchers is unnecessary and should be avoided.

More proof and energy mechanism details can be derived from UCL alterations in Fig. 8a–8c. The relatively comparable I_{Total} and τ_{G} values between Ho@ Gd:60Yb@Gd:40Yb@Gd:20Yb and Ho:50Gd@Gd:60Yb,10Zn@Gd:40Yb@Gd:20Yb UCNPs clearly revealed the Zn²⁺-induced energy quenching, which was partly alleviated by Gd³⁺ shielding and compensated by reduced CR; The UCL properties of Ho@



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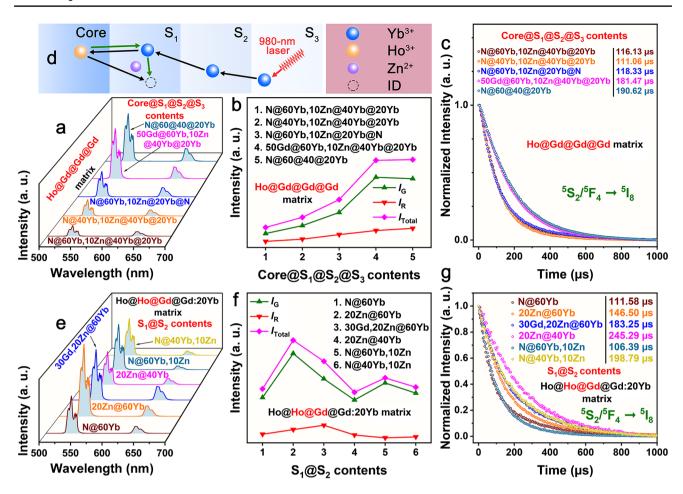


Fig. 8 (a) UCL spectra, (b) UCL property line chart and (c) decay curves and fitted lifetimes of Ho@Yb@Yb@Yb UCNPs with Zn^{2+} doping in S_1 . (d) Schematic illustrations of possible energy quenching via EBT and V_F^- -induced IDs in UCNPs with '>'-distributed triple active shells. (e) UCL spectra, (f) UCL property line chart and

(g) decay curves and fitted lifetimes of Zn²⁺-doped Ho@Ho@Yb@Yb UCNPs. "N" stands for "None". S_1 – S_3 stand for the first, second and third shell. I_G , I_R and I_{Total} stand for the green, red and total UCL intensity, respectively

Gd:40Yb,10Zn@Gd:40Yb@Gd:20Yb UCNPs implied that Yb³⁺ reduction in S₁ can partly suppress EBT as discussed for Ho@Yb@Yb structures, but still cannot well solve the energy-quenching EBT–EM–ID pathways, and the '>'-distribution tendency was also weakened; As for the Ho@Gd:60Yb,10Zn@Gd:20Yb@Gd structure, its UCL properties showed that among these as-synthesized triple-shell UCNPs, energy loss via EBT and V_F⁻-induced IDs was heavier than via SQs. Moreover, the generally short lifetimes of Ho@Yb@Yb and Ho@Yb@Yb@Yb compared with Yb@Ho@Yb structures provided structural directions that double low-doped–active-shell adjacence is acceptable, and single highly-doped–active-shell adjacence brings about higher UCL intensities with lower lifetimes. To capture the essence, Zn²⁺ doping was not

optimal in well-designed triple active shells with '> '-distributions mainly due to energy loss via V_F^- -induced IDs.

Zn²⁺-doped Ho@Ho@Yb@Yb structures

UCL properties of Ho@Ho@Gd:60Yb@Gd:20Yb triple-shell structures, with S₁- and S₂-doped Zn²⁺ hetero-ions, were measured and analyzed. As shown in Fig. 8e–8g and Table S8, I_{Total} increased by 1.90 and 1.51 times with S₁ of Ho:20Zn and Ho:30Gd,20Zn, respectively. As analyzed above for Yb@Ho@Yb structures (Fig. 6a and 6b), 30 mol% of Gd³⁺ dopants might partly offset Zn²⁺-induced lattice distortion and meanwhile lengthen the overall Yb³⁺–Ho³⁺ ET distance, so I_{Total} less enhanced. However, considering that the Yb³⁺ concentration in S₂ was as high as 60 mol% for



easily triggered EBT, the overall increased distance between excited Ho³+ and ground-state Yb³+ ions, together with the energy shielding by inert Gd³+ ions, can partly reduce surface energy quenching via the EBT–EM–…–EM–ID pathways, as supported by higher τ_G values of 183.25 μs for S_1 of Ho:30Gd,20Zn than 146.57 and 111.58 μs for S_1 of Ho:20Zn and Ho, respectively.

S₁@S₂ of Ho@Gd:60Yb, Ho:20Zn@Gd:40Yb and Ho@ Gd:40Yb,10Zn resulted in comparable I_{Total} , indicative of the idea of equivalent structures realized by Zn²⁺ doping. In our highly doped Ho@Yb and Yb@Ho structures, the quantity of sensitizers is far from enough while the quantity of activators is already much excessive. Under such conditions, for Yb³⁺-Ho³⁺ ET processes, more adequate sensitization either by more Yb³⁺ ions or by better 980-nm photon capture ability ascribed from Zn²⁺-promoted Yb³⁺ 4f–4f transitions, and more emissive transitions—either by less energy loss or by Zn²⁺-promoted Ho³⁺ 4f-4f transitions, can all raise the ET efficiency for higher I_{Total} . Accordingly, promoted Ho³⁺ or Yb³⁺ 4f–4f transitions paired with smaller Yb³⁺ quantities might acquire inapparently-changed I_{Total} values. The meaningful point lied in variations of other UCL parameters. As shown in Fig. 8g, τ_G values increased from 111.58 to 245.29 and 198.79 μs with S₁@S₂ of Ho@Gd:60Yb, Ho:20Zn@Gd:40Yb and Ho@Gd:40Yb,10Zn, respectively, since moderate Yb³⁺ concentration next to Ho³⁺ ions can effectively suppress EBT. Based on the enhanced UCL performances via Zn²⁺ co-doping with 20 mol% of Yb³⁺ ions in Fig. 6, the median $\tau_{\rm G}$ and inapparently-changed $I_{\rm Total}$ values for S₁@S₂ of Ho@Gd:40Yb,10Zn (Fig. 8f) suggested that, Yb3+ being highly doped, Zn2+ ions co-doped with Ho³⁺ activators were more optimal than with Yb³⁺ sensitizers. After all, EBT was simultaneously exacerbated with promoted Yb³⁺ 4f-4f transitions. This regular pattern can be rechecked with the I_{Total} and τ_{G} sequences of $S_1@S_2$ of Ho@Gd:60Yb < Ho@Gd:60Yb,10Zn < Ho:20Zn@Gd:60Yb and Ho@Gd:60Yb,10Zn < Ho@Gd:60Yb < Ho:20Zn@ Gd:60Yb, respectively (Fig. 8f and 8g).

Besides, in Ho@Yb@Yb structures, $S_1@S_2$ of Gd:40Yb,10Zn@Gd:20Yb achieved higher I_{Total} than Gd:60Yb,10Zn@Gd:20Yb did (Fig. 7b), while in Ho@ Ho@Yb@Yb structures, $S_2@S_3$ of same Gd:40Yb,10Zn@ Gd:20Yb achieved the opposite outcome (Fig. 8f). Possible reasons were: First, Ho@Ho contained more Ho³+ ions and needed relatively more Yb³+ sensitizing for higher I_{Total} ; Second, core@ S_1 was larger than core and had smaller specific surface area, which meant that relatively fewer Yb³+ adjoined Ho³+ ions at the interface and the EBT frequency was partly reduced, so core@ S_1 can better withstand Gd:60Yb,10Zn. As for UCL lifetimes, Gd:40Yb,10Zn had longer τ_G in both structures (Fig. 7c and 8g), further manifesting the non-positive

correlation between UCL intensity and lifetimes as analyzed above in Fig. 7d. In fact, this non-positive correlation can be treated as a unique feature of highly doped UCNPs with different core—multi-shell structures.

To make a comprehensive conclusion, through Zn^{2+} -doped core–multi-shell structures, equivalence can be realized for I_{Total} , with designable τ_G . Zn^{2+} doping locations and specific core–multi-shell structures can both alter UCL regular patterns. Underlying UCL mechanisms are complex and deserve more in-depth exploration.

Application potentials of Zn²⁺-doped coremulti-shell UCNPs

Our design of highly doped Ho³⁺ ions inside and high content ratio of Gd³⁺ ions in outer shells supported multichannel bio-tracing including T_1 -weighed MR, T_2 -weighed magnetic resonance (MR), computed tomography (CT) and UCL imaging. For more demonstration, Ho@Ho:20Zn@ Gd:60Yb@Gd:20Yb UCNPs was selected and dealt with PEI to be water-soluble. As shown in Fig. 9a-9c, even no more than 1.5 mg/mL, clear and distinguishable in vitro T_1 -weighed MR, T_2 -weighed MR and CT images, with signal intensities well following the concentration gradient (Table S9-S11 and Fig. S21), can be achieved within one sample. In vivo rat CT images also displayed relatively apparent variations in Hounsfield (HU) values from preinjection of 88.7 to post-injection of 294.5 (Fig. 9d). These results underscored the great application potentials of our Zn²⁺-finetuned UCNPs as multi-channel contrast agents for CT and MR imaging.

Assessing the potential application of nanomaterials requires a thorough examination of their biocompatibility and potential cytotoxicity (Fig. 9e)[72]. The cytotoxicity of UCNPs on TPC-1 human thyroid cancer cells and L929 fibroblasts was systematically evaluated using the CCK-8 assay. The UCNPs were dispersed in DMEM medium at varying concentrations (0.1, 0.2, 0.4, 0.8, 1.5 mg/mL) for co-culture with the respective cell types. The results showed that the UCNPs had negligible toxicity to both the cells across the range of tested concentrations (Fig. 9f). Moreover, the morphologic analyses of the UCNPs and the cells were conducted with scanning electron microscope (SEM). Figure 9g showed that, compared with the control group, the morphology and structure of cells exposed to UCNPs remained basically unchanged. These findings suggested the excellent in vitro biocompatibility and low toxicity of UCNPs, supporting their significant potential for clinical applications.

Fluorescence imaging experiments were conducted to verify the presence of luminescent properties of UCNPs in cells. Following co-culturing, cell nuclei were stained with DAPI (blue),



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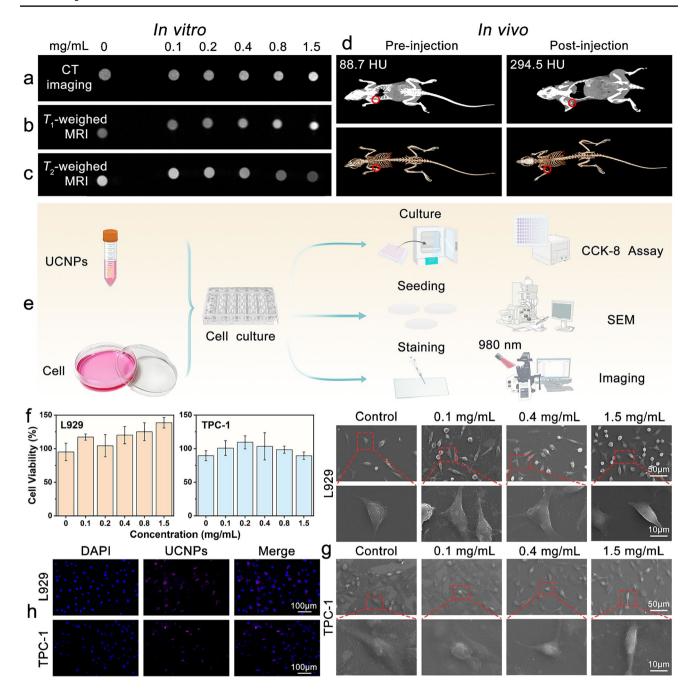


Fig. 9 (a)–(c) In vitro CT, T_1 -weighed MR and T_2 -weighed MR images of saline solutions with different concentrations of UCNPs, respectively. (d) In vivo CT images of the rat pre-injection and post-injection of UCNPs. (e) Schematic diagram of in vitro cell experiments. (f) Cell viability of L929 and TPC-1 cells at different concen-

trations of UCNPs (0, 0.1, 0.2, 0.4, 0.8, 1.5 mg/mL). (g) SEM images of cells co-cultured with UCNPs at three selected concentrations for 24 h. (h) Fluorescence images of L929 and TPC-1 cells after treatment with UCNPs

and their luminescent signals were visualized upon excitation with a 980 nm near-infrared laser. These results indicated that the UCL signals emitted by UCNPs in TPC-1 and L929 cells (Fig. 9h) were mainly concentrated around the cell nucleus, which aligned with those reported by Run Zhang et al [73]. The above results indicated that UCNPs not only emitted light in

L929 cells, but also exhibited photophysical activity and UCL in the complex microenvironment of TPC-1 tumor cells.

In essence, UCNPs demonstrated outstanding in vitro biocompatibility, efficient cellular uptake, and sustained luminescence functionality post-endocytosis, thus strongly supporting their viability as probes for tumor imaging.



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Conclusion

Through co-precipitation methods, series of core-multishell UCNPs highly doped with Yb³⁺ and Ho³⁺ ions were synthesized with rare earth chlorides and oleates, and the UCL was finetuned with Zn²⁺ dopants. The Yb@Ho@Ho structures had quite low UCL intensities due to surface energy quenching, while the Yb@Ho@Yb structures with core-doped Zn²⁺ ions and decreased Yb³⁺ concentration can alleviate EBT and surface quenching, and obtain lifetimes as high as 635.82 us. In Ho@Yb@Yb, Ho@Yb@Yb@Yb and Ho@Ho@Yb@Yb structures, co-doping Zn²⁺ with Yb³⁺ or Ho³⁺ ions resulted in different UCL intensity and lifetime variations with layer numbers and Yb³⁺ distributions. Comparable UCL intensity with varied lifetimes ranging from 111.58 to 245.29 µs can be fulfilled with Zn²⁺ doping and different structures, and the optimal Zn²⁺-doping strategy for one structure may not apply to another. It was found that by lattice distortion, Zn²⁺ doping promotes Yb³⁺ and Ho³⁺ 4f-4f transitions, but concomitant F vacancies can function as inner defects for energy quenching. Co-doping Gd³⁺ and Zn³⁺ in the NaHoF₄ matrix may cause offset of lattice distortion and lengthen the overall Yb³⁺-Ho³⁺ ET distance for decreased UCL efficiency. Besides, due to the larger quantity of activators in highly-doped UCNPs, non-positive correlation between UCL intensity and lifetime may appear. Selected NaHoF₄@NaHoF₄@NaGdF₄:Yb³⁺@NaGdF₄:Yb³⁺ UCNPs presented prominent multifunctional bio-application value in bio-imaging and as contrast agents for both CT and T_1/T_2 -weighhed MR imaging. Our findings offer more theory insights and guidance for UCL finetuning with lattice distortion in highly doped and differently structured UCNPs, and better functionalize NaHoF₄-based nanomaterials from contrast agents to photo-induced diagnosis and treatments.

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Data curation, Resources, Conceptualization, Project administration, Funding acquisition, Writing-review & editing.

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Data availability The data of the manuscript are either included in the manuscript or supplementary materials.

Declarations

Clinical trial number Not applicable.

Competing interests The authors declare no competing interests.

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